

# Waterfall Unidirectional Security Gateway WF-400

## Security Target

Version 0.72

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### Document Version Control Log

| Version      | Date               | Author     | Description   |
|--------------|--------------------|------------|---|
| Version 0.1  | September 14, 2011 | Nir Naaman | Initial draft.  |
| Version 0.2  | October 18, 2011   | Nir Naaman | Minor updates to TOE description and TOE summary specification.   |
| Version 0.3  | March 11, 2012     | Nir Naaman | Post-kickoff updates: <ul style="list-style-type: none"><li>• Augmented to ALC_DVS.2 claim.</li></ul>                                   |
| Version 0.4  | May 3, 2012        | Nir Naaman | Clarified assumptions and objectives for IT environment.  |
| Version 0.5  | June 20, 2012      | Nir Naaman | Fixed ST conformance claim.<br>Clarified TOE identification.<br>Updated reference to TOE guidance.<br>Removed redundant FDP_IFF.1.5 b). |
| Version 0.6  | June 21, 2012      | Nir Naaman | TOE identification now includes version.<br>Moved list of supported appliances from TOE reference to TOE description.                   |
| Version 0.7  | June 27, 2012      | Nir Naaman | Added FDP_IFF.1.5 b).<br>Updated reference to TOE guidance.   |
| Version 0.72 | June 29, 2012      | Nir Naaman | Clarified physical scope of the TOE.  |

## Table of Contents

|   |    |
|---|----|
| 1. ST Introduction .....  | 5  |
| 1.1. ST Reference .....   | 5  |
| 1.2. TOE Reference .....  | 5  |
| 1.3. TOE Overview .....   | 6  |
| 1.4. TOE Description .....  | 8  |
| 1.4.1. Physical Scope and Boundaries of the TOE .....                     | 8  |
| 1.4.2. Logical Scope of the TOE.....                                      | 10 |
| 1.5. Document Organization .....  | 11 |
| 2. Conformance Claims .....   | 12 |
| 2.1. CC Conformance Claim .....   | 12 |
| 2.2. Protection Profile and Package Conformance Claims .....              | 12 |
| 2.3. Conformance Rationale.....   | 12 |
| 3. Security Problem Definition .....                                      | 13 |
| 3.1. Threats .....  | 13 |
| 3.2. Organizational Security Policies .....                               | 13 |
| 3.3. Assumptions .....  | 13 |
| 4. Security Objectives .....  | 14 |
| 4.1. Security Objectives for the TOE .....                                | 14 |
| 4.2. Security Objectives for the Operational Environment .....            | 14 |
| 4.2.1. Traffic Filtering Objectives for the IT Environment .....          | 14 |
| 4.2.2. Security Objectives for the Environment Upholding Assumptions..... | 14 |
| 4.3. Security Objectives Rationale .....                                  | 15 |
| 5. Security Requirements .....  | 17 |
| 5.1. Security Functional Requirements .....                               | 17 |
| 5.1.1. User data protection (FDP) .....                                   | 17 |
| 5.2. Security Assurance Requirements.....                                 | 18 |
| 5.3. Extended Components Definition .....                                 | 19 |
| 5.4. Security Requirements Rationale .....                                | 20 |
| 5.4.1. Security Functional Requirements Rationale.....                    | 20 |

5.4.2. Security Assurance Requirements Rationale ..... 20

5.4.3. Dependency Rationale ..... 21

6. TOE Summary Specification ..... 23

6.1. SFR Mapping ..... 23

6.1.1. User Data Protection (FDP) ..... 23

7. Supplemental Information ..... 24

7.1. References ..... 24

7.2. Abbreviations ..... 24

### List of Tables

Table 1-1 - Appliances included in the TOE ..... 8

Table 4-1- Tracing of security objectives to threats ..... 15

Table 5-1 –Security functional requirement components..... 17

Table 5-2- TOE Security Assurance Requirements..... 18

Table 5-3- Tracing of SFRs to security objectives for the TOE..... 20

Table 5-4- Security Requirements Dependency Mapping..... 21

Table 6-1 - TOE Summary Specification SFR Mapping..... 23

### List of Figures

Figure 1-1 – Typical Usage Scenario..... 6

Figure 1-2 – Waterfall TX and RX appliances ..... 8

Figure 1-3 –Information Flow through the TOE ..... 10

# 1. ST Introduction

## 1.1. *ST Reference*

Title: Waterfall Unidirectional Security Gateway WF-400 Security Target

ST Version: 0.72

ST Date: June 29, 2012

Author: Nir Naaman

CC Version: Common Criteria for Information Technology Security Evaluation,  
Version 3.1 Revision 3, July 2009

Evaluation Assurance Level (EAL):

EAL 4, augmented with AVA\_VAN.5 (Advanced methodical vulnerability analysis), ALC\_DVS.2 (Sufficiency of security measures), and ALC\_FLR.2 (Flaw reporting procedures).

## 1.2. *TOE Reference*

The TOE is uniquely identified as the Waterfall Unidirectional Security Gateway model WF-400, version 1.

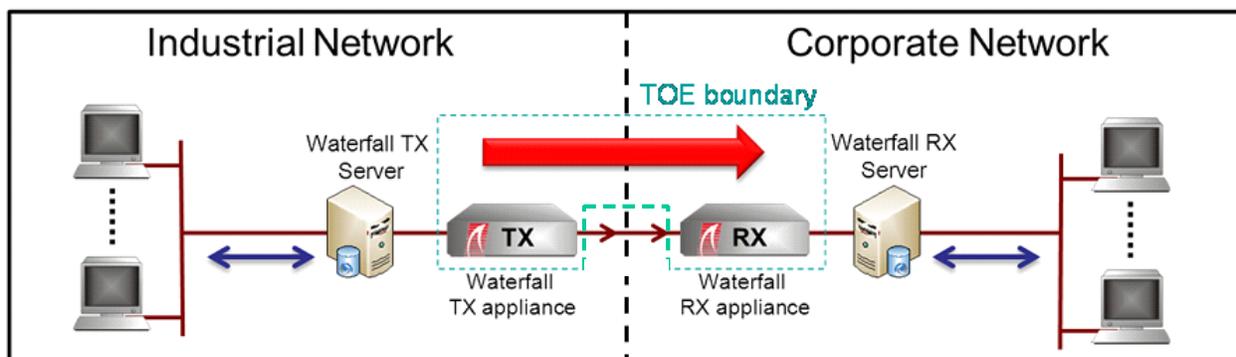
### 1.3. TOE Overview

The Target of Evaluation (TOE) is a network gateway that enforces a unidirectional information flow control policy on network traffic flowing through the gateway. The TX appliance picks up network frames from the sending network, and forwards them to the RX appliance for transmission to the receiving network. The TOE hardware ensures that no information can flow from the receiving network to the sending network.

The TOE does not require nor provide any management capabilities. The unidirectional traffic flow is operational once the TX appliance is connected to the sending network, the RX appliance to the receiving network, the two appliances connected by a single fiber-optic cable, and the two appliances are each powered up.

A typical usage scenario consists of a sending network that represents a utility's industrial network, and a receiving network that represents the corporate or monitoring environment. For example, a power plant or other SCADA network is required to transmit status information in real-time, while preventing an attack from the external network that might impact its integrity or result in a denial of service.

**Figure 1-1 – Typical Usage Scenario**



The TOE allows information such as SNMP traps, syslog event records, or files to flow from the industrial network to the corporate network, while preventing any information flows through the gateway to the industrial network. This serves to prevent a wide range of online attacks:

- The sending network is fully protected against any online cyber attacks initiated at the receiving network, since no information can be sent from the receiving network to the sending network.
- Most network-based attacks require feedback from the network-connected entity under attack<sup>1</sup>. Since no information can be sent back from the receiving network to the sending network, network-connected hosts on the receiving network are thus protected against online cyber attacks initiated at the sending network.

<sup>1</sup> For example, an attacker in the industrial network cannot easily complete a TCP handshake with the corporate network if she is prevented from receiving the acknowledgement from the targeted server.

- The receiving network is fully protected against information leaks into the sending network, since no information can be sent from the receiving network to the sending network.

An alternative usage scenario might involve a classified Intelligence Community (IC) network that must receive information from the outside world (e.g. from sensors or from other operational networks), while preventing leakage of classified information. In this scenario, the TOE is configured such that the IC network is the receiving network.

The Waterfall Unidirectional Security gateway is used as the security-enforcing core for a set of Waterfall products that include, in addition to the gateway, TX and RX agent software running on servers in the sending and receiving networks, respectively. The agents provide product management and monitoring capabilities and support for standard network protocols, including: FTP (file transfer), SMTP (email), SNMP traps, Syslog, Remote Screen View (RSV), OSIsoft PI, System 1, Modbus, ASDE-X, WMQ, eDNA, ICCP, OPC-DA, and others.

As depicted in Figure 1-1 above, the servers and agent software are considered to be outside the TOE; they cannot affect the enforcement of unidirectional information flow by the TOE.

## 1.4. TOE Description

### 1.4.1. Physical Scope and Boundaries of the TOE

#### 1.4.1.1. TOE Hardware, Firmware, and Software

The Waterfall Unidirectional Security gateway is comprised of a pair of WF-400 appliances, including one TX appliance and one RX appliance. For each appliance type (TX or RX), two variants are supported: a single power supply variant, and a dual power-supply variant (for redundancy). The following appliances are included in the TOE:

**Table 1-1 - Appliances included in the TOE**

| Appliance Part Number | TX | RX | Dual Power-Supply |
|-----------------------|----|----|-------------------|
| WF-400RX- 2PS         |    | ✓  | ✓                 |
| WF-400TX- 2PS         | ✓  |    | ✓                 |
| WF-400RX              |    | ✓  |                   |
| WF-400TX              | ✓  |    |                   |

The TX appliance contains a laser LED that converts electronic signals to light. The RX appliance contains a photoelectric cell that can sense light and convert it to electronic signals. The Waterfall TX appliance and Waterfall RX appliance are connected via a single standard fiber-optic cable, allowing light to move from the TX LED to the RX photoelectric cell. The cable is not included in the TOE.

The TOE Security Functionality is implemented entirely in hardware. The TOE also contains firmware that implements functionality such as control of the front-panel display LEDs.

**Figure 1-2 – Waterfall TX and RX appliances**

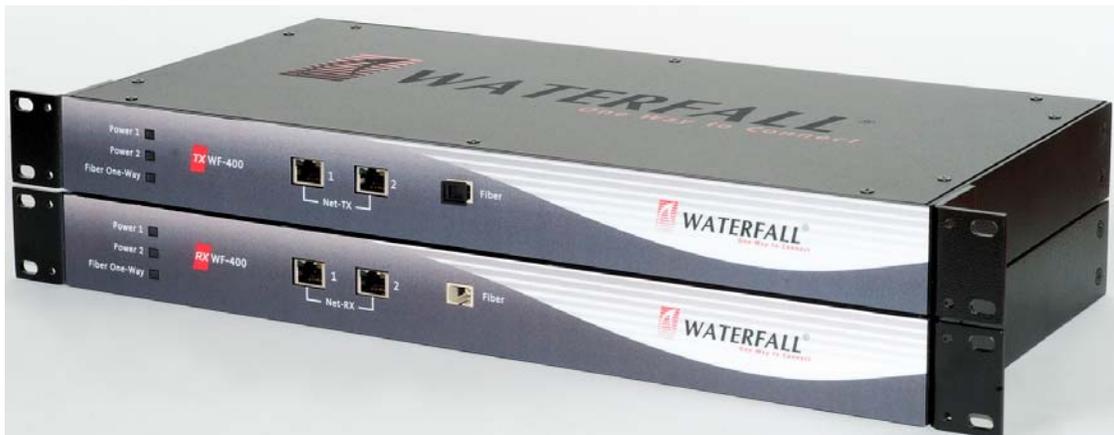


Figure 1-2 above depicts the gateway appliance pair. Each appliance is completely enclosed by an aluminum casing, with no ventilation holes or other apertures that might

allow any signals from the RX appliance into the TX appliance. The fiber ports on each front panel are used for connecting the two appliances the fiber-optic cable. The two RJ45 network ports are used to connect each appliance to its corresponding network.

In addition to the TOE components described above, two software agents are installed on dedicated local servers, on the sending and receiving networks, respectively. As depicted in Figure 1-1 above, the software agents are considered to be outside of the TOE.

#### 1.4.1.2. TOE Guidance

The following Waterfall guidance is considered part of the TOE:

| Title   | Date      |
|---|-----------|
| Waterfall Unidirectional Security Gateway Common Criteria Evaluated Configuration Guide | June 2012 |

## 1.4.2. Logical Scope of the TOE

### 1.4.2.1. Summary of TOE Security Functionality

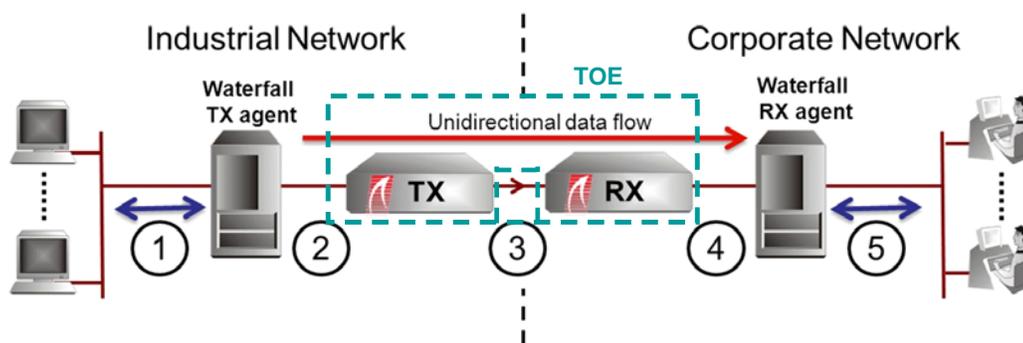
The TOE enables online transmission of data (e.g. information, alerts, files, video streams, etc.) from a designated sending network to a designated receiving network in a unidirectional mode only. No information can be sent in the reverse direction through the TOE.

The TOE does not provide any management or auditing functionality.

### 1.4.2.2. Information Flow through the TOE

The Waterfall Unidirectional Security Gateway can be provided both as a stand-alone solution and as an integrated component in large scale IT security projects, enabling secure one-way data transfer from a critical industrial network to the corporate network.

**Figure 1-3 –Information Flow through the TOE**



The following sequence describes the information flow through the TOE:

1. The Waterfall TX agent (outside the TOE) receives a protocol-specific data stream from the industrial network servers or stations.
2. The Waterfall TX agent handles the translation of the data into Waterfall's proprietary protocol and sends the information to the Waterfall TX appliance.
3. The Waterfall TX appliance transfers the information to the RX appliance over a single fiber-optic cable.
4. The Waterfall RX appliance sends the information to the Waterfall RX agent on the RX server (outside the TOE) using Waterfall's proprietary protocol. The RX agent handles the retrieval of the information from the RX appliance and the translation of the data from Waterfall's proprietary protocol.
5. The Waterfall RX agent communicates the data stream to the corporate network servers or stations.

## **1.5. Document Organization**

Section 1 provides the introductory material for the security target, including ST and TOE references, TOE Overview, and TOE Description.

Section 2 identifies the Common Criteria conformance claims in this security target.

Section 3 describes the security problem solved by the TOE, in terms of the expected operational environment and the set of threats that are to be addressed by either the technical countermeasures implemented in the TOE or through additional environmental controls identified in the TOE documentation.

Section 4 defines the security objectives for both the TOE and the TOE environment.

Section 5 gives the functional and assurance requirements derived from the Common Criteria, Parts 2 and 3, respectively that must be satisfied by the TOE.

Section 6 explains how the TOE meets the security requirements defined in section 6, and how it protects itself against bypass, interference and logical tampering.

Section 7 provides external references used in this security target document

## **2. Conformance Claims**

### **2.1. CC Conformance Claim**

The TOE is conformant with the following CC specifications:

- Common Criteria for Information Technology Security Evaluation Part 2: Security functional components, Version 3.1, Revision 3, July 2009, CCMB-2009-07-002, conformant (CC Part 2 Conformant)
- Common Criteria for Information Technology Security Evaluation Part 3: Security assurance components, Version 3.1 Revision 3, July 2009, CCMB-2009-07-003, conformant (CC Part 3 Conformant)

### **2.2. Protection Profile and Package Conformance Claims**

This Security Target claims conformance to assurance package EAL4 augmented with AVA\_VAN.5, ALC\_DVS.2, and ALC\_FLR.2.

The TOE does not claim conformance with any Protection Profile.

### **2.3. Conformance Rationale**

None

## 3. Security Problem Definition

### 3.1. Threats

This section describes the threats that are addressed by the TOE:

|             |  |
|-------------|--|
| T.LEAKAGE   | A user or process with access to the receiving network accidentally or maliciously transmits information through the TOE to the sending network. |
| T.HACK_HIGH | A user with access to the receiving network compromises the integrity of a host or process on the sending network.                               |
| T.HACK_LOW  | A user with access to the sending network compromises the integrity of a host or process on the receiving network.                               |

### 3.2. Organizational Security Policies

This Security Target does not identify any rules or guidelines that must be followed by the TOE and/or its operational environment, phrased as Organizational Security Policies. All defined security objectives are derived from assumptions and threats only.

### 3.3. Assumptions

The assumptions made about the TOE's intended environment are:

|            |   |
|------------|---|
| A.PHYSICAL | The TOE and the fiber-optic cable connecting its separate parts will be located within controlled access facilities, which will prevent unauthorized physical access. |
| A.ADMIN    | Personnel with authorized physical access to the TOE will not attempt to circumvent the TOE's security functionality.   |
| A.NETWORK  | There will be no channels for information to flow between the sending and receiving networks unless it passes through the TOE.  |

## 4. Security Objectives

### 4.1. Security Objectives for the TOE

O.UNIDIRECTIONAL The TOE shall allow information to flow only from the sending network to the receiving network and not vice versa.

### 4.2. Security Objectives for the Operational Environment

#### 4.2.1. Traffic Filtering Objectives for the IT Environment

As explained in section 1.3 above, the TOE provides mitigation against online cyber attacks initiated at the sending network, given that most online attacks require feedback from the entity under attack. The following security objective for the IT environment complements this by requiring the environment to filter or transform the traffic from the sending network in order to prevent attacks from the sending network.

OE.FILTER\_LOW The IT environment shall filter or transform the information transmitted through the TOE to the receiving network such that it cannot result in compromise of the integrity of hosts or processes on the receiving network.

Note: The Waterfall TX and RX agents (considered to be in the IT environment) proxy the information transmitted through the TOE to the receiving network, thereby implementing a restrictive traffic filter that allows only a single unidirectional protocol stream into the receiving network. This filtering functionality is not being evaluated in the context of this Security Target.

#### 4.2.2. Security Objectives for the Environment Upholding Assumptions

The assumptions made in this ST about the TOE's operational environment must be upheld by corresponding security objectives for the environment.

The following security objectives are intended to be satisfied without imposing technical requirements on the TOE. These objectives are intended to be satisfied through the application of procedural or administrative measures.

NOE.PHYSICAL The intended operation environment shall prevent unauthorized physical access to the TOE and to the fiber-optic cable connecting its separate parts.

NOE.ADMIN Physical access to the TOE shall be authorized only to personnel that will not attempt to circumvent the TOE's security functionality.

NOE.NETWORK The TOE is the only interconnection between the sending and receiving networks.

Application Note: It is recommended to use separate power and network infrastructure for the sending and receiving networks, connected to the TX and RX, respectively.

### 4.3. Security Objectives Rationale

Table 4-1 maps security objectives to threats and assumptions described in chapter 3. The table clearly demonstrates that each threat is countered by at least one security objective, that each assumption is upheld by at least one security objective, and that each objective counters at least one threat or upholds at least one assumption.

This is then followed by explanatory text providing justification for each defined threat that if all security objectives that trace back to the threat are achieved, the threat is removed, sufficiently diminished, or that the effects of the threat are sufficiently mitigated. In addition, each defined assumption is shown to be upheld if all security objectives for the operational environment that trace back to the assumption are achieved.

**Table 4-1- Tracing of security objectives to threats**

|                  | T.LEAKAGE | T.HACK_HIGH | T.HACK_LOW | A.PHYSICAL | A.ADMIN | A.NETWORK |
|------------------|-----------|-------------|------------|------------|---------|-----------|
| O.UNIDIRECTIONAL | ✓         | ✓           | ✓          |            |         |           |
| OE.FILTER_LOW    |           |             | ✓          |            |         |           |
| NOE.PHYSICAL     |           |             |            | ✓          |         |           |
| NOE.ADMIN        |           |             |            |            | ✓       |           |
| NOE.NETWORK      |           |             |            |            |         | ✓         |

**T. LEAKAGE** *A user or process with access to the receiving network accidentally or maliciously transmits information through the TOE to the sending network.*

O.UNIDIRECTIONAL ensures that information flows through the TOE will be allowed only from the sending network to the receiving network and not vice versa.

**T. HACK\_HIGH** *A user with access to the receiving network compromises the integrity of a host or process on the sending network.*

O.UNIDIRECTIONAL ensures that information flows through the TOE will be allowed only from the sending network to the receiving network and not vice versa. A user with access to the receiving network cannot send any information to any host or process on the sending network, and therefore the threat of compromising the integrity of such hosts or processes is removed.

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**T.HACK\_LOW** *A user with access to the sending network compromises the integrity of a host or process on the receiving network.*

O.UNIDIRECTIONAL ensures that information flows through the TOE will be allowed only from the sending network to the receiving network and not vice versa. This provides mitigation for the majority of online attacks, as most attacks require feedback from the entity under attack.

OE.FILTER\_LOW requires the IT environment to ensure that the unidirectional information flows through the TOE to the receiving network are filtered or transformed such that they cannot result in compromise of the integrity of hosts or processes on the receiving network.

Together, O.UNIDIRECTIONAL and OE.FILTER\_LOW counter T.HACK\_LOW.

**A.PHYSICAL** *The TOE and the fiber-optic cable connecting its separate parts will be located within controlled access facilities, which will prevent unauthorized physical access.*

NOE.PHYSICAL directly upholds A.PHYSICAL.

**A.ADMIN** *Personnel with authorized physical access to the TOE will not attempt to circumvent the TOE's security functionality.*

NOE.ADMIN directly upholds A.ADMIN. Together with NOE.PHYSICAL, this ensures that the TOE will not be subject to physical tampering, such as short-circuiting the TX and RX appliances and thereby bypassing the unidirectional optical transmission channel.

**A.NETWORK** *There will be no channels for information to flow between the sending and receiving networks unless it passes through the TOE.*

NOE.NETWORK directly upholds A.NETWORK.

## 5. Security Requirements

### 5.1. Security Functional Requirements

The security functional requirements (SFRs) for this ST consist of the following components from CC Part 2, summarized in Table 5-1.

**Table 5-1 –Security functional requirement components**

| Functional Component |                                   | CC Operations Applied  |
|----------------------|-----------------------------------|------------------------|
| FDP_IFC.2            | Complete Information Flow Control | Assignment             |
| FDP_IFF.1            | Simple Security Attributes        | Assignment, Refinement |

The terminology used in the SFRs is as defined in section 1.

#### 5.1.1. User data protection (FDP)

##### 5.1.1.1. Complete Information Flow Control (FDP\_IFC.2)

FDP\_IFC.2.1 The TSF shall enforce the **Unidirectional SFP** on **the TX, the RX, and all information flowing through the TOE** and all operations that cause that information to flow to and from subjects covered by the SFP.

FDP\_IFC.2.2 The TSF shall ensure that all operations that cause any information in the TOE to flow to and from any subject in the TOE are covered by an information flow control SFP.

##### 5.1.1.2. Simple security attributes (FDP\_IFF.1)<sup>2</sup>

FDP\_IFF.1.1 The TSF shall enforce the **Unidirectional SFP** based on the following types of subject and information security attributes: **subject identity**<sup>3</sup>.

FDP\_IFF.1.2 The TSF shall permit an information flow between a controlled subject and controlled information via a controlled operation if the following rules hold:

- a) **The TSF shall permit the TX to read information from the sending network;**
- b) **The TSF shall permit the TX to transmit information to the RX;**
- c) **The TSF shall permit the RX to receive information from the TX; and**
- d) **The TSF shall permit the RX to write information to the receiving network.**

FDP\_IFF.1.5 The TSF shall explicitly deny an information flow based on the following rules:

- a) **The TSF shall deny the RX to transmit information to the TX; and**
- b) **The TSF shall deny the TX to receive information from the RX.**

<sup>2</sup> The assignments for FDP\_IFF.1.3 and FDP\_IFF.1.4 have been completed as ‘no additional information flow control SFP rules’ and ‘no rules that explicitly authorise information flows’, respectively, and these elements have been refined away to improve the readability of the FDP\_IFF.1 SFR.

<sup>3</sup> The subject identity may be, as defined in FDP\_IFC.2.1, ‘TX’ or ‘RX’.

## 5.2. Security Assurance Requirements

The security assurance requirements for the TOE are the Evaluation Assurance Level (EAL) 4 components defined in Part 3 of the Common Criteria, augmented with the CC Part 3 components ALC\_FLR.2, ALC\_DVS.2, and AVA\_VAN.5.

No operations are applied to any assurance component.

**Table 5-2- TOE Security Assurance Requirements**

| Assurance Class    | Assurance Components |  |
|--------------------|----------------------|--|
| Development        | ADV_ARC.1            | Security architecture description                        |
|                    | ADV_FSP.4            | Complete functional specification                        |
|                    | ADV_IMP.1            | Implementation representation of the TSF                 |
|                    | ADV_TDS.3            | Basic modular design                                     |
| Guidance documents | AGD_OPE.1            | Operational user guidance                                |
|                    | AGD_PRE.1            | Preparative procedures                                   |
| Life-cycle support | ALC_CMC.4            | Production support, acceptance procedures and automation |
|                    | ALC_CMS.4            | Problem tracking CM coverage                             |
|                    | ALC_DEL.1            | Delivery procedures                                      |
|                    | ALC_DVS.2            | Sufficiency of security measures                         |
|                    | ALC_FLR.2            | Flaw reporting procedures                                |
|                    | ALC_LCD.1            | Developer defined life-cycle model                       |
|                    | ALC_TAT.1            | Well-defined development tools                           |

| Assurance Class            | Assurance Components |  |
|----------------------------|----------------------|--|
| Security Target evaluation | ASE_CCL.1            | Conformance claims                         |
|                            | ASE_ECD.1            | Extended components definition             |
|                            | ASE_INT.1            | ST introduction                            |
|                            | ASE_OBJ.2            | Security objectives                        |
|                            | ASE_REQ.2            | Derived security requirements              |
|                            | ASE_SPD.1            | Security problem definition                |
|                            | ASE_TSS.1            | TOE summary specification                  |
| Tests                      | ATE_COV.2            | Analysis of coverage                       |
|                            | ATE_DPT.1            | Testing: basic design                      |
|                            | ATE_FUN.1            | Functional testing                         |
|                            | ATE_IND.2            | Independent testing – sample               |
| Vulnerability assessment   | AVA_VAN.5            | Advanced methodical vulnerability analysis |

### 5.3. *Extended Components Definition*

There are no extended components defined in this Security Target. All security requirements have been drawn from the [CC] Parts 2 and 3.

## 5.4. Security Requirements Rationale

### 5.4.1. Security Functional Requirements Rationale

Table 5-3 provides a mapping between the security requirements and the security objective for the TOE that has been defined in section 4. This is followed by a detailed rationale of this mapping.

**Table 5-3- Tracing of SFRs to security objectives for the TOE**

| SFRs      | O.UNIDIRECTIONAL |
|-----------|------------------|
| FDP_IFC.2 | X                |
| FDP_IFF.1 | X                |

**O.UNIDIRECTIONAL** *The TOE shall allow information to flow only from the sending network to the receiving network and not vice versa.*

FDP\_IFC.2 requires that all information flowing through the TOE be covered by the information flow control SFP. This ensures that no information flows, whether explicit or covert, are exempt from the Unidirectional SFP.

FDP\_IFF.1 allows information to flow from the sending network to the receiving network as follows: the TX reads the information from the sending network; the TX transmits the information to the RX; the RX receives the information from the TX and writes it to the receiving network.

The inverse information flow (from the receiving network to the sending network) is explicitly denied by FDP\_IFF.1, as the TX cannot read information from the receiving network, and no information can flow from the RX (which is connected to the receiving network) to the TX (which is connected to the sending network).

FDP\_IFC.2 and FDP\_IFF.1 together enforce the Unidirectional SFP on all information flows through the TOE.

### 5.4.2. Security Assurance Requirements Rationale

The level of assurance chosen for this ST is that of Evaluation Assurance Level (EAL) 4, as defined in CC Part 3, augmented with the CC Part 3 components AVA\_VAN.5, ALC\_DVS.2, and ALC\_FLR.2.

EAL 4 ensures that the product has been methodically designed, tested, and reviewed with maximum assurance from positive security engineering based on good commercial development practices. It is applicable in those circumstances where developers or users require a moderate to high level of independently assured security.

AVA\_VAN.5 augments EAL4 by ensuring that the product has undergone advanced methodical vulnerability analysis to confirm that the product is resistant to attacks with up to High attack potential.

EAL 4 augmented by AVA\_VAN.5 is appropriate for a TOE designed to protect industrial networks from cyber attacks and to prevent leakage of information from classified networks. These use cases may attract attackers with high motivation and therefore High attack potential.

The ALC\_DVS.2 augmentation was included to provide justification that the security measures provide the necessary level of protection to maintain the confidentiality and integrity of the TOE.

In addition, the assurance requirements have been augmented with ALC\_FLR.2 (Flaw reporting procedures) to provide assurance that the TOE will be maintained and supported in the future, requiring the TOE developer to track and correct flaws in the TOE, and providing guidance to TOE users for how to submit security flaw reports to the developer.

### 5.4.3. Dependency Rationale

Table 5-4 depicts the satisfaction of all security requirement dependencies. For each security requirement included in the ST, the CC dependencies are identified in the column “CC dependency”, and the satisfied dependencies are identified in the “ST dependency” column.

Dependencies that are satisfied by hierarchically higher or alternative components are given in **boldface**, and explained in the “Justification” column.

**Table 5-4- Security Requirements Dependency Mapping**

| SFR       | CC dependency           | ST component                    | Justification (where needed)   |
|-----------|-------------------------|---------------------------------|--|
| FDP_IFC.2 | FDP_IFF.1               | FDP_IFF.1                       |  |
| FDP_IFF.1 | FDP_IFC.1,<br>FMT_MSA.3 | <b>FDP_IFC.2</b>                | The dependency on FMT_MSA.3 is not applicable as there are no security attributes to initialize. |
| ADV_ARC.1 | ADV_FSP.1,<br>ADV_TDS.1 | <b>ADV_FSP.4,<br/>ADV_TDS.3</b> | Consistent with EAL4   |
| ADV_FSP.4 | ADV_TDS.1               | <b>ADV_TDS.3</b>                | Consistent with EAL4   |
| ADV_IMP.1 | ADV_TDS.3,<br>ALC_TAT.1 | ADV_TDS.3,<br>ALC_TAT.1         |  |
| ADV_TDS.3 | ADV_FSP.4               | ADV_FSP.4                       |  |

| <b>SFR</b> | <b>CC dependency</b>  | <b>ST component</b>   | <b>Justification (where needed)</b>  |
|------------|---|---|--|
| AGD_OPE.1  | ADV_FSP.1   | <b>ADV_FSP.4</b>  | Consistent with EAL4   |
| AGD_PRE.1  |   |   |  |
| ALC_CMC.4  | ALC_CMS.1,<br>ALC_DVS.1,<br>ALC_LCD.1   | <b>ALC_CMS.4,</b><br>ALC_DVS.2,<br>ALC_LCD.1  | ALC_CMS.4 is consistent with EAL4; ALC_DVS.2 is hierarchical to ALC_DVS.1. |
| ALC_CMS.4  |   | None  |  |
| ALC_DEL.1  |   | None  |  |
| ALC_DVS.2  |   | None  |  |
| ALC_FLR.2  |   | None  |  |
| ALC_LCD.1  |   | None  |  |
| ALC_TAT.1  | ADV_IMP.1   | ADV_IMP.1   |  |
| ATE_COV.2  | ADV_FSP.2,<br>ATE_FUN.1   | <b>ADV_FSP.4,</b><br>ATE_FUN.1  | Consistent with EAL4   |
| ATE_DPT.1  | ADV_ARC.1,<br>ADV_TDS.2,<br>ATE_FUN.1   | ADV_ARC.1,<br><b>ADV_TDS.3,</b><br>ATE_FUN.1  | Consistent with EAL4   |
| ATE_FUN.1  | ATE_COV.1   | <b>ATE_COV.2</b>  | Consistent with EAL4   |
| ATE_IND.2  | ADV_FSP.2,<br>AGD_OPE.1,<br>AGD_PRE.1,<br>ATE_COV.1,<br>ATE_FUN.1                             | <b>ADV_FSP.4,</b><br>AGD_OPE.1,<br>AGD_PRE.1,<br>ATE_COV.1,<br>ATE_FUN.1                      | Consistent with EAL4   |
| AVA_VAN.5  | ADV_ARC.1,<br>ADV_FSP.4,<br>ADV_TDS.3,<br>ADV_IMP.1,<br>AGD_OPE.1,<br>AGD_PRE.1,<br>ATE_DPT.1 | ADV_ARC.1,<br>ADV_FSP.4,<br>ADV_TDS.3,<br>ADV_IMP.1,<br>AGD_OPE.1,<br>AGD_PRE.1,<br>ATE_DPT.1 |  |

## 6. TOE Summary Specification

### 6.1. SFR Mapping

Table 6-1 provides a description of the general technical mechanisms that the TOE uses to satisfy each SFR defined in section 5. The table includes the description of security functionality given in each SFR by reference and provides a high-level view of their implementation in the TOE, referencing section 1.4.1 and 1.4.2 for descriptions of the physical and logical components of the TOE, respectively.

**Table 6-1 - TOE Summary Specification SFR Mapping**

| Component                                | Description of mechanism   |
|--|--|
| <b>6.1.1. User Data Protection (FDP)</b> |  |
| <b>FDP_IFC.2</b>                         | <p>The TOE is implemented in parts: the TX and RX appliances are entirely independent, each with its own independent power and network interfaces, each enclosed in a separate enclosure that does not admit electronic or light signals via any other than the described interfaces.</p> <p>In accordance with TOE guidance, the TX appliance is connected only to the sending network, and is not connected to the receiving network. Conversely, the RX appliance is connected only to the receiving network.</p> <p>The two parts of the TOE are connected by a single fiber-optic cable. This ensures that all information flows through the TOE must flow through the cable and are thereby covered by the Unidirectional SFP.</p>   |
| <b>FDP_IFF.1</b>                         | <p>The TX appliance is connected using standard RJ45 interfaces for copper-based electronic communication with the sending network. The TX appliance cannot read information from the receiving network because its network interfaces are connected only to the sending network.</p> <p>The TX appliance contains a proprietary TX board which converts the incoming communication into a fiber-optic-based data transmission using a fiber-optic transceiver. The TX board and TX transceiver support only data transmission, implementing galvanic isolation between the on-board circuitry and the receiving end of the transceiver, which is customized by Waterfall so that it does not include a photoelectric cell for optical data reception.</p> <p>A single fiber-optic cable connects the TX appliance to the RX appliance, and constitutes the only connection between these two components. This fiber-optic cable connects to the RX appliance's Fiber port. A proprietary RX board converts the incoming optical data into electronic signals, transmitted onto the receiving network, using a fiber-optic transceiver. The RX board and RX transceiver support only data reception, implementing galvanic isolation between the on-board circuitry and the transmitting end of the transceiver, which is customized by Waterfall so that it does not include a LED for optical data transmission.</p> |

## 7. Supplemental Information

### 7.1. References

The following external documents are referenced in this Security Target.

| Identifier | Document   |
|------------|--|
| CC         | Common Criteria for Information Technology Security Evaluation Parts 1-3, Version 3.1, Revision 3, July 2009, CCMB-2009-07-002, 002 and 003    |
| CEM        | Common Methodology for Information Technology Security Evaluation, Evaluation Methodology, Version 3.1 Revision 3, July 2009, CCMB-2009-07-004 |

### 7.2. Abbreviations

| Abbreviation | Description                        |
|--------------|------------------------------------|
| CC           | Common Criteria                    |
| CEM          | Common Evaluation Methodology      |
| EAL          | Evaluation Assurance Level         |
| FTP          | File Transfer Protocol             |
| LED          | Light Emitting Diode               |
| RSV          | Remote Screen View                 |
| SFR          | Security Functional Requirement    |
| SMTP         | Simple Mail Transfer Protocol      |
| SNMP         | Simple Network Management Protocol |
| ST           | Security Target                    |
| TCP          | Transmission Control Protocol      |
| TOE          | Target of Evaluation               |
| TSF          | TOE Security Functionality         |
| TSS          | TOE Summary Specification          |
| UDP          | User Datagram Protocol             |